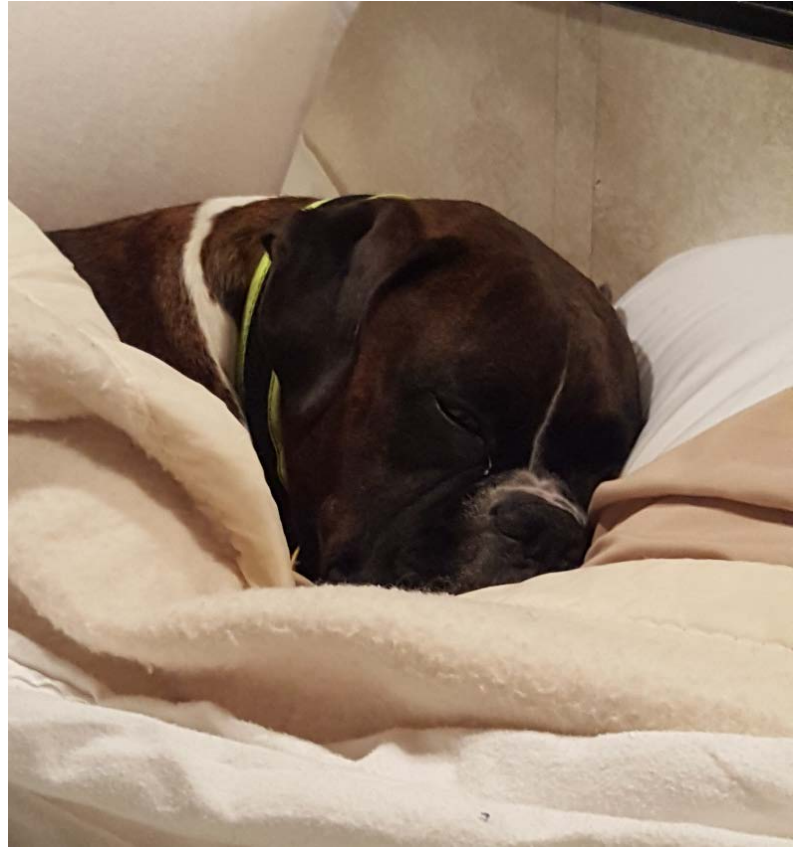




NPCR-AERRO: Electronic Pathology (ePath) and Biomarker Synoptic Reporting Activities

**Sandy Jones, Public Health Advisor
Cancer Surveillance Branch
Division of Cancer Prevention and Control
Centers for Disease Control and Prevention (CDC)**

Good Morning.



Background and Purpose

- U.S. Laboratories still produce narrative reports
- Non-standard across labs
- Time-consuming
- Enhance data completeness, timeliness, and quality using the CAP Cancer Protocols and electronic Cancer Checklists (eCC)

! Show Report Legend

Path Final Diagnosis

Test Breast Diagnosis **CANCER** CHECKLIST: CLINICAL Clinical History: Palpable mass. . Prior neoadjuvant treatment for this diagnosis of **DCIS**.
Specify Type: Test specific Type Radiologic Finding: Mass or architectural distortion SPECIMEN Procedure: Total **Mastectomy** (including **Nipple** and skin) **Lymph node** Sampling: **Lymph nodes** present within the **Breast** specimen (i.e., Intraammary **Lymph nodes**) Specimen Laterality: Right Tumor Site: Upper inner quadrant Specify Clock Position of Tumor Site: 6 o'clock Size (Extent) of **DCIS** Estimated Size (extent) of **DCIS** (greatest dimension using gross and microscopic evaluation) is at Least (mm): 8mmmm Additional Dimension (mm): 2mmmm Number of Blocks with **DCIS**: 3 Number of Blocks Examined: 8 Histologic Type: **DUCTAL CARCINOMA IN SITU**. Classified as Tis (**DCIS**) or Tis (Paget) Architectural Patterns: **PAGET DISEASE** (**DCIS** involving **Nipple**: Skin). Criform, Papillary **MARGINS MARGINS** (Note H): Margins uninvolved by **DCIS** Distance of **DCIS** from Closest Margin (mm): Distance is < 1 mm Closest Uninvolved Margin: Anterior, Superior Specify Which Margin(s) and Extent of Involvement: Anterior, Posterior, Superior, Inferior, Medial, Lateral Extent of Anterior Margin Involvement: Extensive Extent of Posterior Margin Involvement for **DCIS**: Minimal / moderate Extent of Superior Margin Involvement: Minimal / moderate Extent of Inferior Margin Involvement: Extensive Extent of Medial Margin Involvement: Extensive Extent of Lateral Margin Involvement: Minimal / moderate **ACCESSORY FINDINGS** Treatment Effect: Response to Presurgical (Neoadjuvant) Therapy: No definite response to presurgical therapy Microcalcifications: Present in **DCIS**, Present in both **DCIS** and nonneoplastic tissue Sentinel and Non-Sentinel Nodes Total Number of **Lymph nodes**: Examined (sentinel and nonsentinel): Number cannot be determined (explain), test explanation Micro / Macro Metastases: Present Number of **Lymph nodes** with Isolated Tumor Cells (<= 0.2 mm and <= 200 cells): Number cannot be determined (explain) Number of **Lymph nodes** with Macrometastases (> 2 mm): Specify, 6mm Number of **Lymph nodes** with Micrometastases (> 0.2 mm to 2 mm and / or > 200 cells): Specify Sentinel Nodes Number of Sentinel **Lymph nodes**: Examined: Specify Method of Evaluation of Sentinel **Lymph nodes**: Hematoxylin and eosin (H and E), one level, H and E, multiple levels, Immunohistochemistry STAGE (pTNM) TNM Descriptors: r (recurrent) Primary Tumor (pT); pTis (Paget); **PAGET DISEASE** of the **Nipple** NOT associated with **INVASIVE CARCINOMA** and / or **CARCINOMA IN SITU** (**DCIS** and / or **LCIS**) in the underlying **Breast** parenchyma. Modifier: (sn): Only sentinel node(s) evaluated. If 6 or more nodes (sentinel or nonsentinel) are removed, this modifier should not be used. Category (pN): pN2a: Metastases in 4 to 9 axillary **Lymph nodes** (at least one tumor deposit greater than 2.0 mm)## Distant Metastasis (pM): pM1: Distant detectable metastasis as histologically proven larger than 0.2 mm (Deprecated Items) (Deprecated Answers): cM0 (H-): No clinical or radiographic evidence of distant metastasis, but deposits of molecularly or microscopically detected tumor cells in circulating **blood**, **Bone marrow**, or other nonregional nodal tissue that are no larger than 0.2 mm in a patient without symptoms or signs of metastasis Specimen: Total **Breast** (including **Nipple** and skin) Estrogen Receptor (results of special studies performed on this specimen or a prior core needle biopsy): Immunoreactive tumor cells present Progesterone Receptor (results of special studies performed on this specimen or a prior core needle biopsy): Immunoreactive tumor cells present and Interpretation: Positive % Receptor (PgR): Performed on Positivity: 20% Average Inten

Path Text Diagnosis

CAP eCC
1.003.001.1000043
Number cannot be determined
Specify
Specify

Path Clinical History





Overview of Necessary Components



**Development of
CAP Cancer
Pathology and
Biomarker
Templates**

**Laboratory
Systems to
Integrate and
Implement**

**Pathologists to
Use**

**Laboratories to
Report to
Cancer
Registries**

The Stars are aligning...



- NPCR-AERRO ePath Project began working with national laboratories to transmit narrative reports using NAACCR Volume V in 2006
- CAP eCCs first published in 2007
 - CAP Cancer Protocols cancer reporting gold-standard since 1984
- Cancer Care Ontario (CCO) implemented a CCO-developed checklist in 2008 and implemented the CAP eCCs from 2010-2012
- PathGroup implemented CAP eCCs in 2012
- CA pilot project with St. Joseph's Health, CAP, and mTuitive began in January 2014

Project Collaboration for Greater Impact

CDC NPCR-AERRO ePath Project

Implement laboratory reporting to all cancer registries

CAP eCC Project

Implement CAP eCC in hospital laboratory information systems (LIS)

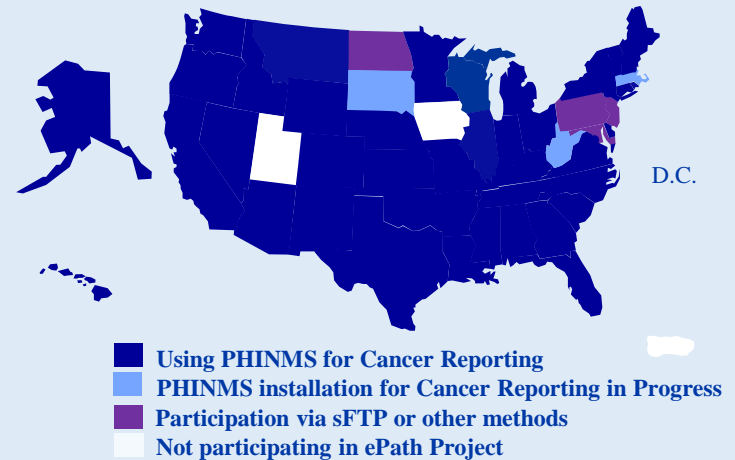
California Pilot Project

Implement reporting of CAP eCC data from California hospitals to cancer registry

Update on CDC NPCR-AERRO ePath Project

- Use NAACCR Volume V
 - HL7 2.3.1 or 2.5.1 ORU message for narrative reports
- Established ICD-10-CM filter to identify cancer cases for reporting
 - Core vs. Expanded
- Use Public Health Information Network Messaging System (PHINMS) for secure message transport
- Currently implemented ePath reporting from 25 national/regional labs to over 40 registries

ePath Project Participants as of May 2016



CAP Cancer Pathology and Biomarker Templates

CAP Approved

Breast • DCIS
DCIS 3.2.0.0

Surgical Pathology Cancer Case Summary

Protocol web posting date: December 2013

DCIS OF THE BREAST: Complete Excisor
Specimens Designated Biopsy, Lump
Mastectomy; With or Without Axillary
Without Axillary Contents: Modified I

Select a single response unless otherwise

Specimen Identification

The following 4 elements identifying the specir

Procedure (Note A)

- Excision without wire-guided localization
- Excision with wire-guided localization
- Total mastectomy (including nipple and sk
- Radioactive seed localization
- Other (specify): _____
- Not specified

Lymph Node Sampling (select all that the specimen) (Note B)

- Sentinel lymph node(s)
- Axillary dissection (partial or complete diss
- Lymph nodes present within the breast spt
- Other lymph nodes (eg, supraclavicular or
Specify location, if provided: _____

Specimen Laterality

- Right
- Left

Breast Biomarker Reporting Template

Template web posting date: December 2014

Completion of the template is the responsibility of the laboratory performing the biomarker testing and/or providing the interpretation. When both testing and interpretation are performed elsewhere (eg, a reference laboratory), synoptic reporting of the results by the laboratory submitting the tissue for testing is also encouraged to ensure that all information is included in the patient's medical record and thus readily available to the treating clinical team.

BREAST

Select a single response unless otherwise indicated.

Note: Required elements in this template comply with the most recent versions of the American Society of Clinical Oncology/College of American Pathologists (ASCO/CAP) guidelines on HER2 and hormone receptor testing. **Reporting elements are required only if applicable and only for tests performed.** If some studies were performed on different specimen(s), the specimen number(s) should be provided.

RESULTS

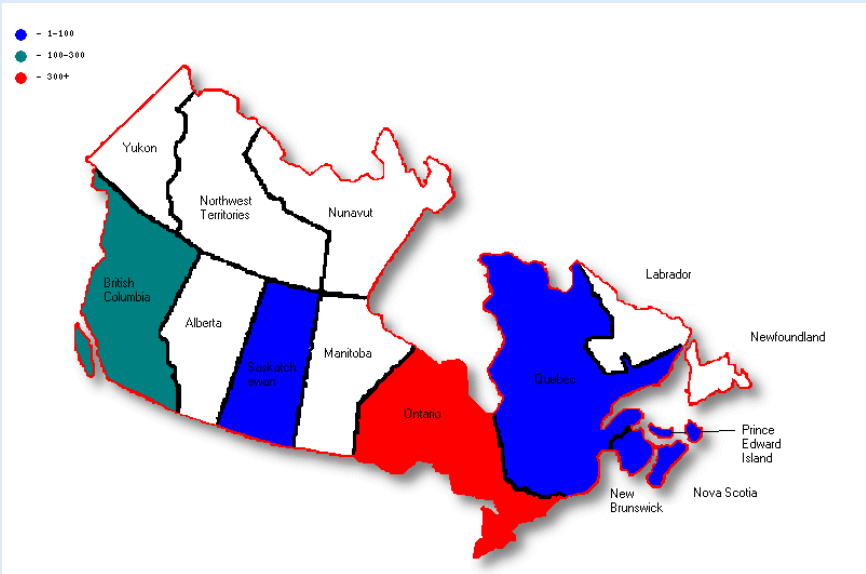
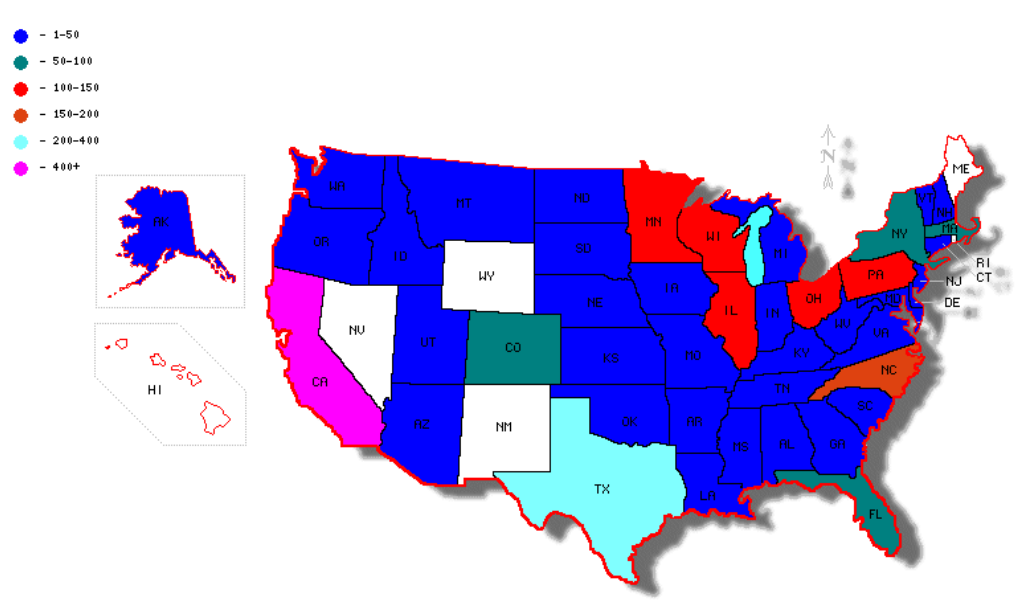
Estrogen Receptor (ER) Status (Note A)

- Positive
 - Percentage of cells with nuclear positivity*
 - Specify: ___ %
 - OR-
 - Range (Note A)
 - ___ 1-10% (specify): ___ %*
 - ___ 11-20%
 - ___ 21-30%
 - ___ 31-40%
 - ___ 41-50%
 - ___ 51-60%
 - ___ 61-70%
 - ___ 71-80%
 - ___ 81-90%
 - ___ 91-100%
 - + Average intensity of staining:
 - + ___ Weak
 - + ___ Moderate
 - + ___ Strong
- Negative
 - ___ Internal control cells present and stain as expected

- 3,162 pathologists using checklists
- 96 CAP Cancer eCCs, including 13 Biomarker Templates
 - Breast Biomarkers
 - Stomach: Gastric HER2 Biomarkers
 - Lung Biomarkers, Non-Small Cell Carcinoma
 - Colorectal Biomarkers
 - CNS Biomarkers
 - GIST Biomarkers
 - MPN Biomarkers
 - CLL Biomarkers
 - CML Biomarkers
 - Melanoma Biomarkers
 - DLBCL Biomarkers
 - Thyroid Biomarkers
 - Endometrium Biomarkers

Source: Cancer Protocols on www.cap.org

Pathologists Licensed to Use CAP eCC in U.S. and Canada



Pathology Reporting in Cancer Care Ontario (CCO)

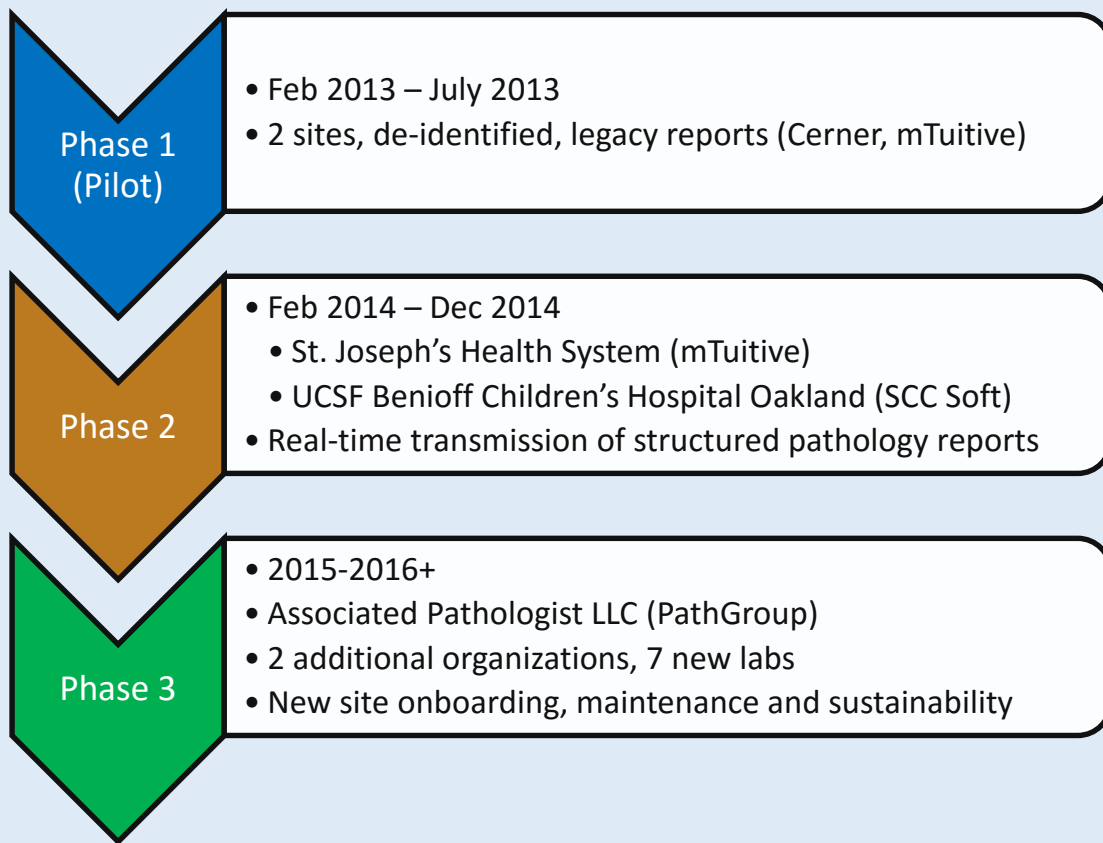
Proportion of Ontario hospitals reporting cancer pathology to CCO, by level of standardization, from narrative to synoptic format

Reporting Level	Level 1	Level 2	Level 3	Level 4	Level 5	Level 6
Description	<ul style="list-style-type: none"> Narrative No CAP content Single text field data 	<ul style="list-style-type: none"> Narrative CAP content Single text field data 	<ul style="list-style-type: none"> Level 2 + Synoptic-like structured format 	<ul style="list-style-type: none"> Level 3 + Electronic reporting tools using drop-down menus 	<ul style="list-style-type: none"> Level 4 + Standardized reporting language Data elements stored in discrete data fields 	<ul style="list-style-type: none"> Level 5 + Common data and messaging standards with keys, SNOMED CT or other encoding
% Ontario Hospitals 2004-05	5%	40%	50%	5%	0%	0%
% Ontario Hospitals 2006-07	0%	5%	70%	25%	0%	0%
% Ontario Hospitals 2008-09	0%	0%	65%	17%	18%	0%
% Ontario Hospitals 2009-10	0%	0%	20%	2%	78%	0%
% Ontario Hospitals January 2012	0%	0%	8%	0%	0%	92%
% Ontario Hospitals May 2012	0%	0%	3%	0%	0%	97%
% Ontario Hospitals October 2015	0%	0%	0%	0%	0%	100%

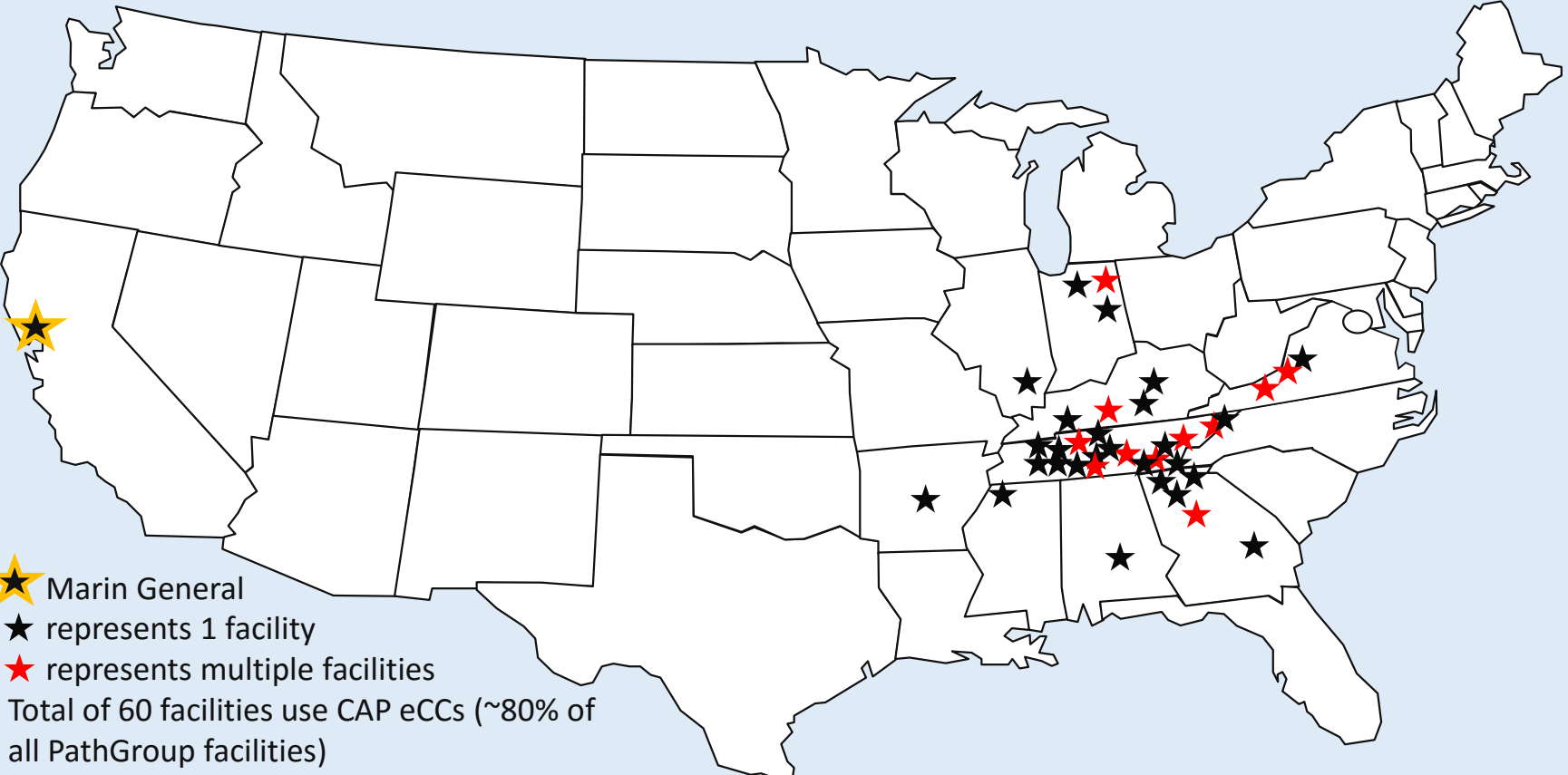
Data Source: CCO ePath, as of October 2015

Ontario hospitals includes 119 acute care facilities – primary and secondary. Primary sites submit cancer pathology reports directly to CCO Ontario Cancer Registry via CCO ePath system. Primary sites may also report cancer pathology for secondary hospitals. Private labs and Paediatric facilities are not included

CCR Structured Reporting Initiative – to date



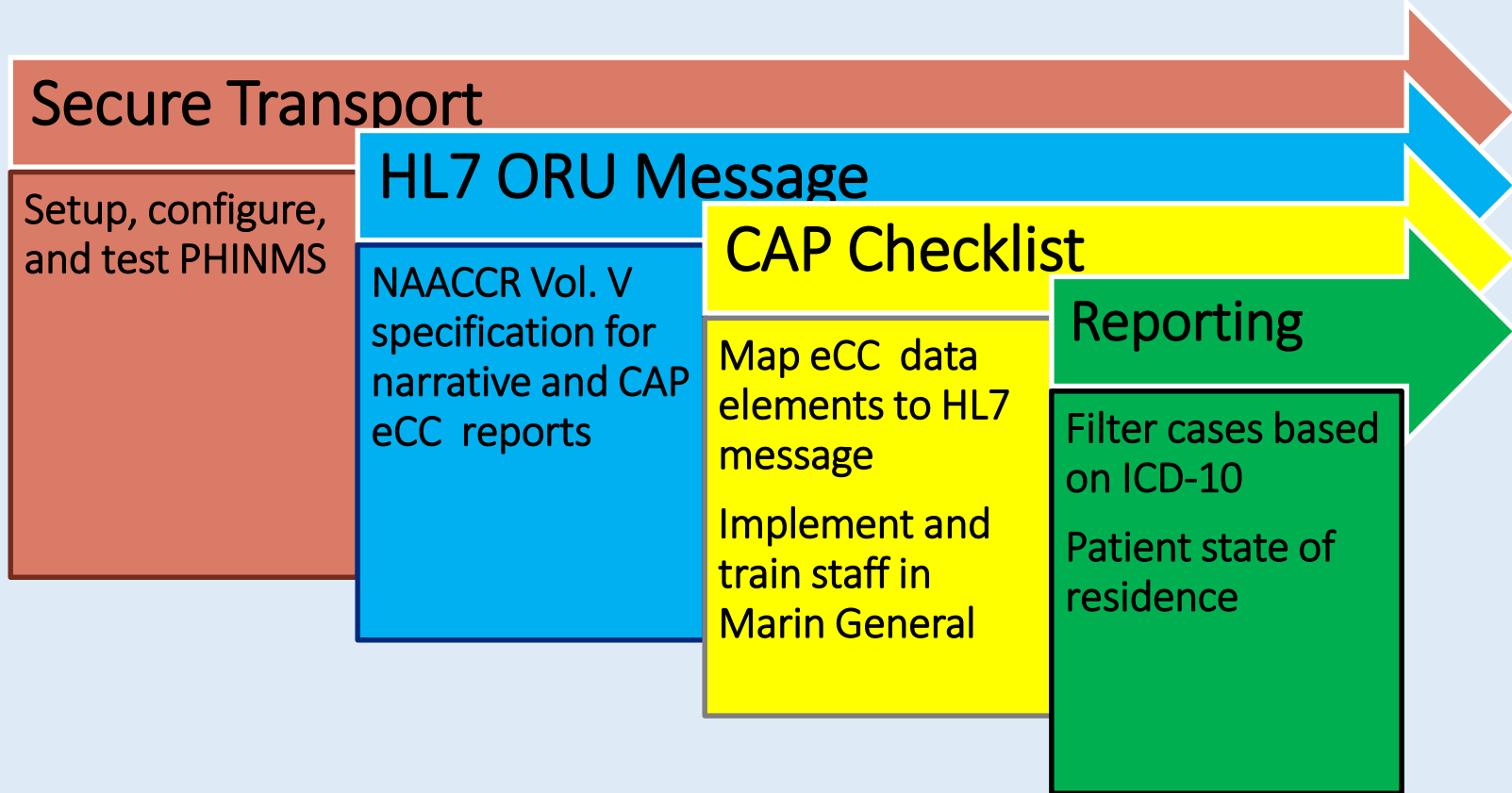
PathGroup facilities using CAP eCCs



- ★ Marin General
- ★ represents 1 facility
- ★ represents multiple facilities

Total of 60 facilities use CAP eCCs (~80% of all PathGroup facilities)

PathGroup Implementation Activities



Sample PathGroup HL7 Message

```
MSH|^~&|PathSys|Associated Pathologists, LLC d/b/a PathGroup^44D0934312^CLIA|Eureka|CCR|20160607141304||ORU^R01^ORU_R01|201606071413040001|P|2.5.1|||||VOL_v_40_C  
PID||N00150471^AMR^999990&Test PathGroup Systems University&PG^A^USSA^SSA~N00150471^AMR^PathGroup^PIA||Testauto^CCPat1^A^L||19420901|M||2420 Sunnyview Lane^BR  
PV1||N  
ORC|RE|||||Test PathGroup Systems University^L|5301 Virginia Way^Suite 300 room 1^Brentwood^TN^37027|APRN^PH^A^1^615^5629350|CMC^Nashville^TN^37076
```

```
OBR|1||16-11-000988^PathSys^44D0934312^CLIA|11529-5^SURGICAL PATH REPORT^LN||20160607000000|||||20160607000000|&Esophagus, Biopsy|1234567890^Test^Doc  
OBX|1|TX|22637-3^Path report.final diagnosis^LN||Esophagus, mid, biopsy:\X0D\X0A\ ADENOCARCINOMA, poorly differentiated, signet ring cell type, wit  
Extension: Tumor invades through the muscularis propria into\X0D\X0A\ the periesophageal soft tissue (adventitia)\X0D\X0A\ MARGINS\X0D\X0A\ Uninv  
(pTNM)\X0D\X0A\ TNM Descriptors: Not applicable\X0D\X0A\ Primary Tumor (pT): pT3: Tumor invades adventitia\X0D\X0A\ Regional Lymph Nodes (pN)  
OBX|2|TX|22638-1^Path report.comments^LN||\X0D\X0A\ Comments - Results communicated by phone. An immunohistochemical stain for Her-2 is\X0D\X0A\ order  
OBX|3||22633-2^Path report.site of origin^LN||Mid esophagus biopsies||||F||201606071334|44D0934312^Associated Pathologists, LLC d/b/a PathGroup^CLI  
OBX|4|TX|22634-0^Path report.gross description^LN||Received in formalin labeled "CCPat1 Testauto, esophagus" are multiple white,\X0D\X0A\ irregular tis  
OBX|5|TX|22635-7^Path report.microscopic examination^LN||Microscopic examination is performed.||||F||201606071334|44D0934312^Associated Pathologists,  
OBX|6|TX|22636-5^Path report.relevant Hx^LN||History - PEG tube||||F||201606071334|44D0934312^Associated Pathologists, LLC d/b/a PathGroup^CLIA  
OBR|2||16-11-000988^PathSys^44D0934312^CLIA|160568-3^SYNOPTIC REPORT^LN||20160607000000|||||20160607000000|&Esophagus, Biopsy|1234567890^Test^Doctor^A  
OBX|1|ST|60573-3^Report template source^LN||CAP_ecc||||F||201606071334  
OBX|2|CWE|160572-5^Report template ID^LN||1.100004300^ESOPHAGUS: Endoscopic Resection, Esophagectomy, or Esophagogastrectomy (Note A)^CAPECC||||F||2  
OBX|3|ST|60574-1^Report template version ID^LN||2.004.001.1000043||||F||201606071334  
OBX|4|CWE|18497.100004300^Specimen^CAPECC||18561.100004300^Esophagus^CAPECC||||F||201606071334  
OBX|5|CWE|4158.100004300^Procedure^CAPECC||4160.100004300^Esophagogastrectomy^CAPECC||||F||201606071334  
OBX|6|CWE|6369.100004300^Primary Tumor Site^CAPECC||16171.100004300^Esophagogastric junction (EGJ)^CAPECC||||F||201606071334  
OBX|7|CWE|18562.100004300^Relationship of Tumor to Esophagogastric Junction^CAPECC||18578.100004300^Tumor midpoint is located at the esophagogastric jun  
OBX|8|CWE|4172.100004300^Histologic Type^CAPECC||4174.100004300^Adenocarcinoma^CAPECC||||F||201606071334  
OBX|9|CWE|4180.100004300^Histologic Grade^CAPECC||4185.100004300^G3: Poorly differentiated^CAPECC||||F||201606071334
```



Strategies to increase use of CAP eCCs



Describe benefits to laboratories

Demonstrate ease of use for pathologists

Introduce use of eCCs at academic level

Market to standard setters at national level

Market to labs, pathologists and lab system vendors

Market to oncologists and other physician specialists

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Questions?



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Visit: www.cdc.gov | Contact CDC at: 1-800-CDC-INFO or www.cdc.gov/info

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

National Center for Chronic Disease Prevention and Health Promotion
Division of Cancer Prevention and Control

