# IMPACT OF RACE/ETHNICITY AND SES ON ADJUVANT CHEMOTHERAPY USE AMONG ELDERLY PATIENTS WITH STAGE III COLON CANCER

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# Background

- Racial/ethnic disparities exist in cancer outcomes
  - More advanced stage of disease at diagnosis among minorities
  - Not receiving guideline-recommended treatment
- Benefits of receiving adjuvant chemotherapy for patient with stage III colon cancer
  - □ Reduce the risk of recurrence
  - Improve survival

# Background

- Administrating adjuvant chemotherapy for stage III colon cancer patients after resection was recommended by NIH Consensus Conference since 1990
- Elderly stage III colon cancer patients received similar benefits as the younger
  - % receiving adjuvant chemotherapy among older patients is much lower
    - Comorbid condition(s)
    - Life expectancy

# Study Objectives

- To examine the impact of race/ethnicity and SES on receipt of chemotherapy among patients with stage III colon cancer enrolling in Medicare Parts A and B
- To identify other factors associated with not receiving the guideline-recommended care
- To evaluate trends of utilizing adjuvant chemotherapy by race/ethnicity and SES

# Patients and Methods

# Data Sources

- Data were obtained from the Surveillance,
   Epidemiology and End Results (SEER)-Medicare
   2000-2007 linked database.
  - Contains cancer cases from 16 SEER population-based cancer registries (26% of the US population)
  - Includes detailed claims information from the Medicare program
    - Hospital inpatient file (MedPAR)
    - Hospital outpatient file
    - Physician file (NCH)
    - Durable Medical Equipment file (DME)

# Study Cohort

- Limited to Medicare beneficiaries continuously enrolled in Medicare Parts A and B only
  - Part A: hospitalization, short-term convalescence and rehabilitation in a skilled-nursing facility, hospice and some home health care
  - Part B: physician and outpatient services and durable medical equipment
  - 97% of the elderly (age 65+) enroll in Part A and 96% of those enroll in Part B

# Study Cohort

- Inclusion criteria:
  - Medicare-enrolled patients aged 66 years and older
  - □ Stage III colon cancer diagnosed in years 2000 to 2007
  - Microscopically confirmed
  - Underwent the surgical resection within 6 months after diagnosis

# Study Cohort

### Exclusion criteria:

- Patients had non-colorectal subsequent primary diagnosed within 10 months of primary colon cancer diagnosis (N=394)
- Patients had number of positive regional nodes examined coded to negative, no node examined, or unknown (N=198)
- Patients had unknown census tract or census tract coded to either Post Office Box or unknown coding method (N= 420)
- Patients died within 30 days after surgery (N=859)

# Definition of Adjuvant Chemotherapy

- All chemotherapy related administrations received within 4 months after surgery
  - Did not restrict to only the standard intravenous or oral chemotherapeutic agents administered for colon cancer

# Codes Used to Define Colon Resection

 Surgery codes obtained from hospital inpatient, outpatient, and physician claims

| Coding Sources                                      | Claim files                            | Codes   |
|---|--|---|
| ICD-9-CM procedure                                  | Hospital inpatient & outpatient claims | 45.7x, 45.8x, 48.4x,<br>48.5x, and 48.6x                                      |
| CPT (Current Procedural Terminology): HCPCS Level 1 | Physician & outpatient claims          | 44140-44147, 44150-<br>44160, 44202-44239,<br>45110-45170, and<br>45395-45397 |

# Codes Used to Define Chemotherapy

### Obtained from inpatient, outpatient, physician, & DME

| Coding Sources               | Claim files                              | Codes  |
|------------------------------|--|--|
| HCPCS                        | Physician & outpatient claims            | J0640, J8500-J9999, Q0083-<br>Q0085<br>C8953-C8955, G0355-G0363<br>(year 2005)   |
| ICD-9-CM procedure           | Hospital inpatient & outpatient claims   | 9925   |
| ICD-9-CM diagnosis           | Hospital inpatient & outpatient claims   | V581, V5811, V662, and V672  |
| CPT (HCPCS Level 1)          | Physician & outpatient claims            | 964xx and 965xx  |
| Revenue center codes         | Outpatient claims                        | 0331, 0332, and 0335   |
| National Drug Codes<br>(NDC) | Durable Medical<br>Equipment (DME) files | 000041100xx, 000041101xx,<br>548684143xx, 548685260xx<br>545695717xx (year 2005) |

# Description of Independent Variables

Patient Demographics



Clinical Variables

### Other



- Race/ethnicity
- **SES**
- Sex
- Age at diagnosis
- Marital status
- Urban/Rural

Anatomic subsite

- Histological grade
- # of positive nodes
  - **√**1-3, 4+, Unk
- Intestinal obstruction status
- "Intestinal stoma
  (Colostomy/ileostomy)
- Comorbid conditions
  - **√**0, 1, 2+

- Diagnosis year
  - **✓** 2000-2003
  - **✓** 2004-2007
- Hospital type
  - ✓ ACoS
  - **✓** Non-ACoS

# Statistical Analysis

- Multilevel logistic regression
  - To estimate the likelihood of receiving adjuvant chemotherapy within 4 months after surgical resection

- Cochran-Armitage test
  - To access the linear trend of receiving adjuvant chemotherapy over time

# Results and Discussion

# Data Description

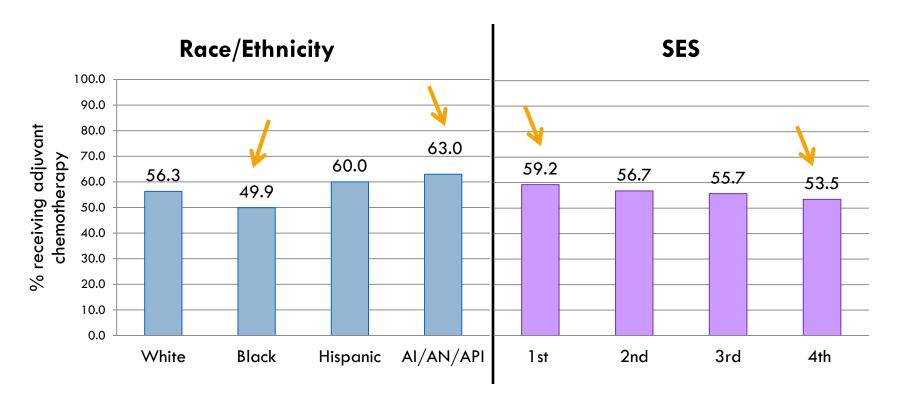
- Total eligible Medicare beneficiaries with resected stage
   Ill colon cancer: 13,608
- □ 58.8% are females
- 80.9% are non-Hispanic whites

| SES                  | White        | Black       | Hispanic  | AI/AN/API† |
|----------------------|--------------|-------------|-----------|------------|
| JEJ                  | (n = 11,011) | (n = 1,120) | (n = 715) | (n = 762)  |
| 1st (Most affluent)  | 27.7         | 8.2         | 12.9      | 24.9       |
| 2nd                  | 28.7         | 10.5        | 17.5      | 23.0       |
| 3rd                  | 26.2         | 20.7        | 26.9      | 26.1       |
| 4th (Least affluent) | 17.3         | 60.6        | 42.8      | 26.0       |
| Total                | 80.9         | 8.2         | 5.3       | 5.6        |

<sup>&</sup>lt;sup>†</sup> American Indian, Alaska native, Asian and Pacific islander.

# Receiving Adjuvant Chemotherapy

- 56% of stage III colon cancer patients received adjuvant chemotherapy
- □ 82% in age 66-69, 30% in age ≥80



# Results: Race/Ethnicity and SES

|                      | Unadjusted        | Adjusted                 |                           |
|----------------------|-------------------|--------------------------|---------------------------|
| Variables            | OR (95% CI)       | Model I *<br>OR (95% CI) | Model II #<br>OR (95% CI) |
| Race/ethnicity       |                   |                          |                           |
| White                | 1.00              | 1.00                     | 1.00                      |
| Black                | 0.78 (0.68, 0.88) | 0.83 (0.73, 0.94)        | 0.69 (0.59, 0.80)         |
| Hispanic             | 1.16 (1.00, 1.36) | 1.22 (1.04, 1.42)        | 1.07 (0.89, 1.28)         |
| AI/AN/API            | 1.32 (1.13, 1.54) | 1.34 (1.15, 1.56)        | 1.14 (0.96, 1.36)         |
| SES                  |                   |                          |                           |
| 1st (Most affluent)  | 1.00              | 1.00                     | 1.00                      |
| 2nd                  | 0.90 (0.82, 0.99) | 0.90 (0.82, 1.00)        | 0.93 (0.83, 1.03)         |
| 3rd                  | 0.87 (0.79, 0.95) | 0.87 (0.79, 0.96)        | 0.90 (0.80, 1.01)         |
| 4th (Least affluent) | 0.79 (0.72, 0.87) | 0.81 (0.73, 0.90)        | 0.86 (0.76, 0.97)         |

<sup>\*</sup>Model I includes race/ethnicity and SES

<sup>#</sup> Model II includes all predictors

# Results: Other Demographic Factors

|                | Unadjusted        | Adjusted          |
|----------------|-------------------|-------------------|
| Variables      | OR (95% CI)       | OR (95% CI)       |
| Sex            |                   |                   |
| Male           | 1.00              | 1.00              |
| Female         | 0.69 (0.65, 0.74) | 1.01 (0.93, 1.10) |
| Age            |                   |                   |
| 66-69          | 1.00              | 1.00              |
| 70-74          | 0.67 (0.58, 0.76) | 0.68 (0.59, 0.78) |
| 75-79          | 0.41 (0.36, 0.47) | 0.42 (0.37, 0.49) |
| 80+            | 0.10 (0.09, 0.11) | 0.10 (0.09, 0.12) |
| Marital Status |                   |                   |
| Single         | 1.00              | 1.00              |
| Married        | 1.74 (1.57, 1.93) | 1.68 (1.49, 1.89) |
| Widowed        | 0.63 (0.57, 0.70) | 0.99 (0.87, 1.12) |
| Unknown        | 1.00 (0.82, 1.21) | 1.14 (0.92, 1.41) |

# Results: Clinical Factors

|                                | Unadjusted        | Adjusted          |
|--------------------------------|-------------------|-------------------|
| Variables                      | OR (95% CI)       | OR (95% CI)       |
| Histological grade             |                   |                   |
| Well/moderately differentiated | 1.00              | 1.00              |
| poorly/undifferentiated        | 0.94 (0.87, 1.01) | 0.98 (0.90, 1.07) |
| Unknown                        | 0.72 (0.58, 0.89) | 0.65 (0.51, 0.83) |
| Intestinal obstruction         |                   |                   |
| No                             | 1.00              | 1.00              |
| Yes                            | 0.60 (0.53, 0.66) | 0.63 (0.56, 0.72) |
| Intestinal stoma               |                   |                   |
| No                             | 1.00              | 1.00              |
| Yes                            | 0.62 (0.56, 0.69) | 0.62 (0.54, 0.70) |
| Number of positive nodes       |                   |                   |
| 1-3                            | 1.00              | 1.00              |
| ≥4                             | 1.17 (1.09, 1.26) | 1.22 (1.12, 1.33) |
| Unknown                        | 0.80 (0.62, 1.04) | 0.80 (0.59, 1.07) |
| Comorbidity                    |                   |                   |
| 0                              | 1.00              | 1.00              |
| 1                              | 0.77 (0.70, 0.83) | 0.78 (0.71, 0.86) |
| ≥2                             | 0.49 (0.44, 0.54) | 0.49 (0.44, 0.55) |

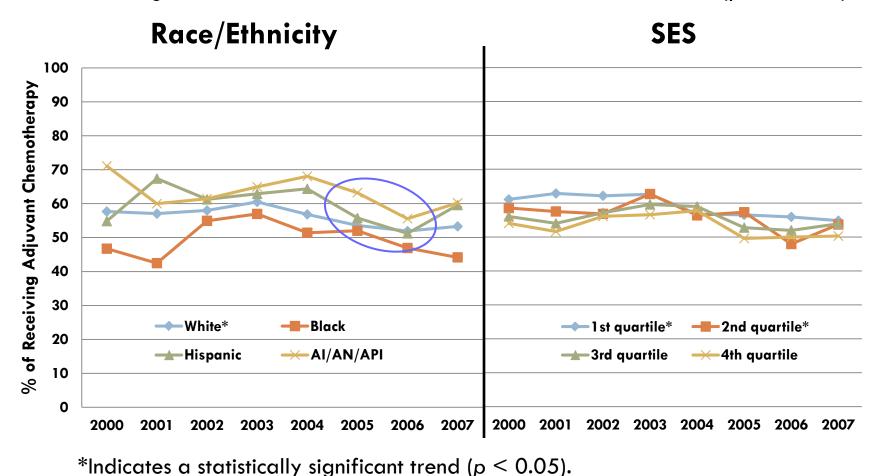
# Results: Other Factors

|                | Unadjusted        | Adjusted          |
|----------------|-------------------|-------------------|
| Variables      | OR (95% CI)       | OR (95% CI)       |
| Diagnosis year |                   |                   |
| 2000-2003      | 1.00              | 1.00              |
| 2004-2007      | 0.85 (0.80, 0.91) | 0.83 (0.77, 0.90) |
| ACoS hospital* |                   |                   |
| No             | 1.00              | 1.00              |
| Yes            | 0.96 (0.89, 1.05) | 0.95 (0.87, 1.05) |

<sup>\*</sup>ACoS hospital is based on the hospital performed colon surgical resection

# Trend Analysis: % Receiving Adjuvant Chemotherapy

Decreasing trend was observed: 58% in 2000 to 53% in 2007 (p < 0.001).



# Conclusions

- Persistent racial/ethnic and SES disparities in utilization of recommended adjuvant chemotherapy among elderly patients with stage III colon cancer
  - Blacks and patient residing in the least affluent SES area tend to have lower probability of receiving guideline-recommended chemotherapy than their counterpart.
- Older age group, number of comorbid conditions, intestinal obstruction status, and creation of intestinal stoma significantly influence patients not receiving adjuvant chemotherapy.

# Conclusions

- Married patients and those with more positive LNs are more likely to receive chemotherapy after surgery
- Declining trend was observed
  - Potential reasons that caused decreasing use of adjuvant chemotherapy
    - Chemotherapy drug shortages
    - Change of Medicare reimbursement system on the physician-administered drugs under Part B which was effective in 2005
      - MMA Drug reimbursement would cap at the average sale price (ASP) plus 6% to cover the practice costs

# Limitations

- Did not cover all Medicare beneficiaries
  - Because services provided to a Medicare beneficiary by supplemental health care system do not bill to Medicare.
- Validity of chemotherapy administration information
  - Although the Medicare claims has high level of agreement (88%) with SEER POC data on obtaining chemotherapy administration information, there still exists minor discrepancy in receiving it for colon cancer patients but the impact is considered to be minimal.

# Thank You!

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